# **DUPLICATE**

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**PATENT** 

Attorney Docket No. 12665DIV1

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: McGinniss, Vincent D. et al.

Serial No.: N/A

Group No.: N/A

Filed:

Herewith

Examiner: N/A

For: ELECTROOPTIC COMPOUNDS AND METHODS FOR MAKING (as amended)

MS Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

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Applicants submit herewith patents, publications, or other information, of which they are aware that they believe may be material, as defined in 37 CFR § 1.56(b), to the examination of this application, and in respect of which, there may be a duty to disclose in accordance with 37CFR § 1.56(a). While the information referred to in this Information Disclosure Statement may be material pursuant to 37 CFR §1.56(b), the filing of this Information Disclosure Statement is not intended, pursuant to 37 CFR §1.97(h), to constitute an admission that any patent, publication, or other information referred to is, or is considered to be, material to the patentability of this invention. Further, pursuant to 37 CFR §1.97(g), the filing of this Information Disclosure Statement should not be construed as a statement that a search has been made or that no other material information exists. The filing of this

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- 3. This statement is being provided at the time of filing. No fees for submission of this statement are anticipated.

The person making this statement is the practitioner who signs below on the basis of the information in the practitioner's file.

Respectfully submitted,

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June 20, 2003

#### Express Mail # - EU417945270US

Attorney Docket Number

DUPLICAT

PTO/SB/08A (04-03)

Approved for use through 04/30/2003. OMB 0651-0031

12665DIV1

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			U. S. PATENT	DOCUMENTS	
Examiner Cite No. 1		Document Number  Number-Kind Code <sup>2 (I known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	<sup>US-</sup> 4,198,349	04/15/1980	Nuss et al.	· · · · · · · · · · · · · · · · · · ·
	A2	<sup>US-</sup> 4,323,675	04/06/1982	Eckes et al.	
	A3	<sup>US-</sup> 4,666,819	05/19/1987	Elmasry	
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	A12	<sup>US-</sup> 6,348,992 B1	02/19/2002	Zhang et al.	
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		FORE	IGN PATENT DOC	IMENTS		
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (#known)				T⁵
	A14	JP 06025120 A1	02/1994	Ricoh KK		
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (use as many sheets as necessary)			ISCLOSURE	Filing Date	06/20/2003	
			APPLICANT	First Named Inventor	McGinniss et al.	
				Group Art Unit		
			as necessary)	Examiner Name		
Sheet	2	of	2	Attorney Docket Number	12665DIV1	

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		
		BOSC et al.; DESIGN AND SYNTHESIS OF LOW REFRACTIVE INDEX POLYMERS FOR MODULATION IN OPTICAL WAVEGUIDES; Optical Materials Volume 13; 1999; pp. 205-209			
	B1	CHOLLETT et al.; ELECTRO-OPTIC COEFFICIENT DETERMINATION IN STRATIFIED ORGANIZED	Ш		
		MOLECULAR THIN FILMS: APPLICATION TO POLED POLYMERS; Thin Solid Films 242; 1994; pp. 132-138			
<del></del>	B2	HOLM, ROY T.; KETIMINES AS LATENT EPOXY CURING AGENTS; Journal of Paint Technology; Volume 39,			
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	DS	INOUE, K.; FUNCTIONAL DENDRIMERS, HYPERBRANCHED AND STAR POLYMERS; Progress in Polymer			
	24	Science; Volume 25, No. 4; May 2000; pp. 453-571	,		
	B4	MARCATILI, E. A. J.; DIELECTRIC RECTANGULAR WAVEGUIDE AND DIRECTIONAL COUPLER FOR			
		INTEGRATED OPTICS; The Bell System Technical Journal; Volume 48; September 1969; pp. 2071-2102			
	<u>B5</u>	TENC et al. CIMPLE DESIGNATION TRANSPORTE			
1	1	TENG et al.; SIMPLE REFLECTION TECHNIQUE FOR M EASURING THE ELECTRO-OPTIC COEFFICIENT OF POLED POLYMERS; Appl. Phys. Lett.; Volume 56, No. 18; April 30, 1990; pp. 1734-1736			
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